

FISCAL OPERATIONS REPORT AND APPLICATION TO PARTICIPATE (FISAP)
REPORT: AWARD PERIOD JULY 1, 1997 - JUNE 30, 1998; APPLICATION: AWARD PERIOD JULY 1, 1999 - JUNE 30, 2000

PART I. IDENTIFYING INFORMATION, CERTIFICATIONS AND WARNING

SECTION A. IDENTIFYING INFORMATION
1a. NAME AND ADDRESS OF INSTITUTION

1b.. MAILING ADDRESS (if different from 1a)

2a. SERIAL NO: _____ 2b. OPEID#: _____
3. ENTITY NO. 1- ____ - ____ - ____ - ____

NAME AND/OR ADDRESS CHANGE:

EIN# CHANGE: 1- ____ - ____ - ____ - ____

OPEID# CHANGE: _____

ADDITIONAL LOCATIONS:

INSTITUTIONS WITH SEPARATELY ELIGIBLE ADDITIONAL LOCATIONS WHICH WILL BE FUNDED UNDER THIS APPLICATION MUST LIST THESE LOCATIONS AND THEIR ADDRESSES AND OPEID#'S ON THE NEXT SCREEN. YOU MAY NOT FILE A SEPARATE APPLICATION FOR ANY SEPARATELY ELIGIBLE INSTITUTION LISTED HEREIN.

WE HAVE ENTERED A LIST OF SEPARATELY YES NO
ELIGIBLE ADDITIONAL LOCATIONS INCLUDED ____ ____
IN THIS APPLICATION.

4. TYPE OF INSTITUTION (SELECT ONE)

- ☐ 4.1 PUBLIC
☐ 4.2 PRIVATE/NON-PROFIT
☐ 4.3 PROPRIETARY

☐ A) ART ☐ D) TRADE & TECHNICAL
☐ B) BUSINESS ☐ E) OTHER
☐ C) COSMETOLOGY

5. LENGTH/TYPE OF LONGEST PROGRAM (SELECT ONE)

- ☐ 5.1 LESS THAN 1 YEAR
☐ 5.2 1 YEAR BUT LESS THAN 2 YEARS
☐ 5.3 2 YEARS BUT LESS THAN 3 YEARS
☐ 5.4 3 YEARS BUT LESS THAN 4 YEARS

☐ 5.5 4 YEARS
(NO HIGHER THAN A
BACCALAUREATE DEGREE)
☐ 5.6 5 YEARS OR MORE
☐ 5.7 POSTBACCALAUREATE
ONLY

SECTION B. CERTIFICATIONS AND WARNING

WE CERTIFY THAT THE INFORMATION CONTAINED IN THIS FISAP IS IN COMPLIANCE WITH GOVERNING LEGISLATION AND REGULATIONS AND IS TRUE AND ACCURATE TO THE BEST OF OUR KNOWLEDGE. WE UNDERSTAND THAT THE INFORMATION IS SUBJECT TO AUDIT AND PROGRAM REVIEW BY REPRESENTATIVES OF THE SECRETARY OF EDUCATION.

* WARNING: ANY PERSON WHO KNOWINGLY PROVIDES FALSE OR *
* MISLEADING INFORMATION ON THIS FISAP WILL BE SUBJECT TO A *
* FINE OF UP TO \$10,000 OR TO IMPRISONMENT OF UP TO 5 *
* YEARS OR TO BOTH UNDER PROVISIONS OF THE UNITED STATES *
* CRIMINAL CODE TITLE 18 SECTION 1001. *

6. Chief Executive Officer (President, Chancellor, Owner, etc.)

SIGNATURE _____
TYPED NAME _____

DATE SIGNED _____
TELEPHONE NO () ____ - ____
FAX PHONE NO () ____ - ____

7. FINANCIAL AID ADMINISTRATOR

SIGNATURE _____
TYPED NAME _____

DATE SIGNED _____
TELEPHONE NO () ____ - ____
FAX PHONE NO () ____ - ____

8. CHIEF FISCAL OFFICER

SIGNATURE _____
TYPED NAME _____

DATE SIGNED _____
TELEPHONE NO () ____ - ____
FAX PHONE NO () ____ - ____

9. Name and Address of Private Financial Aid Consultant Firm

Name: _____
Address1: _____
Address2: _____
City: _____ State: ____ Zip: _____

APPLICATION, PART II

NAME OF INSTITUTION: _____ SERIAL NUMBER: _____ ENTITY NUMBER: 1-____-____-____
STATE: _____

PART II. APPLICATION TO PARTICIPATE FOR AWARD YEAR JULY 1,1999 THROUGH JUNE 30, 2000

SECTION A. REQUEST FOR FUNDS FOR THE 1999-2000 AWARD YEAR

- | | |
|--|----------|
| 1. FEDERAL PERKINS LOAN LEVEL OF EXPENDITURES | \$ _____ |
| 2. FEDERAL PERKINS LOAN FEDERAL CAPITAL CONTRIBUTION | \$ _____ |
| 3. FSEOG FEDERAL FUNDS | \$ _____ |
| 4. FWS FEDERAL FUNDS | \$ _____ |

SECTION B. FWS AND/OR FSEOG INSTITUTIONAL SHARE WAIVER REQUEST

(APPLIES ONLY TO CERTAIN INSTITUTIONS; SEE INSTRUCTIONS)

5. I WISH TO APPLY FOR A WAIVER BECAUSE OUR INSTITUTION
IS DESIGNATED AS ELIGIBLE FOR TITLE III.

5.1 FWS ☐ YES ☐ NO

5.2 FSEOG ☐ YES ☐ NO

SECTION C. FEDERAL PERKINS LOAN PROGRAM-EXPANDED LENDING OPTION
(ELO) PARTICIPATION REQUEST

(APPLIES ONLY TO CERTAIN INSTITUTIONS; SEE INSTRUCTIONS)

6. MY INSTITUTION WISHES TO PARTICIPATE IN ELO AND A SIGNED
INSTITUTIONAL AGREEMENT FOR PARTICIPATION IS ENCLOSED.

☐ YES ☐ NO

SECTION D. FEDERAL PERKINS LOAN PROGRAM
LIQUIDATION REQUEST

(APPLIES ONLY TO CERTAIN INSTITUTIONS; SEE INSTRUCTIONS)

7. MY INSTITUTION WISHES TO DISCONTINUE PARTICIPATION
IN THE FEDERAL PERKINS LOAN PROGRAM.

☐ YES ☐ NO

SECTION E: WAIVER REQUEST FOR THE UNDER USE OF FUNDS

MY INSTITUTION WILL RETURN MORE THAN 10 PERCENT OF ITS FEDERAL
PERKINS LOAN, FSEOG, OR FWS ALLOCATION FOR THE 1997-98 AWARD YEAR.

8. I AM REQUESTING A WAIVER OF THE PENALTY FOR THE UNDER USE
OF FUNDS AND HAVE PROVIDED A WRITTEN EXPLANATION OF THE
CIRCUMSTANCES ON THE ADDITIONAL INFORMATION SCREEN.

-----YES -----NO

APPLICATION, PART II

NAME OF INSTITUTION: _____ SERIAL NUMBER: _____ ENTITY NUMBER: 1- _____ - _____ - _____
STATE: _____

SECTION F. INFORMATION ON ENROLLMENT

(INSTITUTIONS WITH TRADITIONAL CALENDAR)

(INSTITUTIONS WITH TRADITIONAL CALENDAR)

INSTITUTIONS THAT HAD 1997-98 ENROLLMENT, FILL IN LINE 9

	(A) UNDERGRADUATE	(B) GRADUATE/PROFESSIONAL		(A) CONTINUING STUDENTS	(B) NEW STARTS
9. TOTAL NUMBER OF STUDENTS, 1997-98	_____	_____	12. JULY 1,1997	_____	_____
			13. AUGUST 1	_____	_____
			14. SEPTEMBER 1	_____	_____
			15. OCTOBER 1	_____	_____
			16. NOVEMBER 1	_____	_____
			17. DECEMBER 1	_____	_____
			18. JANUARY 1,1998	_____	_____
			19. FEBRUARY 1	_____	_____
			20. MARCH 1	_____	_____
			22. APRIL 1	_____	_____
			22. MAY 1	_____	_____
			23. JUNE 1,1998	_____	_____
10. ESTIMATED NUMBER OF STUDENTS, 1998-99	_____	_____	24. TOTAL	_____	_____
11. PROJECTED NUMBER OF STUDENTS, 1999-2000	_____	_____			

SECTION G. ASSESSMENTS AND EXPENDITURES

	(A) UNDERGRADUATE	(B) GRADUATE/PROFESSIONAL
25. TOTAL TUITION AND FEES FOR THE AWARD YEAR JULY 1,1997 - JUNE 30,1998	\$ _____	\$ _____
26. TOTAL FEDERAL PELL EXPENDITURES FOR THE 1997-98 AWARD YEAR	\$ _____	\$ _____
27. TOTAL EXPENDED FOR STATE GRANTS AND SCHOLARSHIPS MADE TO UNDERGRADUATES FOR THE AWARD YEAR JULY 1,1997 TO JUNE 30,1998	\$ _____	\$ _____

SECTION H. INFORMATION ON ELIGIBLE AID APPLICANTS FOR AWARD YEAR 1997-98

	DEPENDENT			INDEPENDENT		
	(A) UNDERGRADUATE / BACCALAUREATE / 1ST PROFESSIONAL WITHOUT	(B) DEGREE WITH	(C) LESS THAN FULL TIME	(D) UNDERGRADUATE / BACCALAUREATE / 1ST PROFESSIONAL WITHOUT	(E) DEGREE WITH	(F) GRADUATE/ PROFESSIONAL
28. STUDENTS WITH AN "AUTOMATIC" ZERO EFC	_____	_____	_____	_____	_____	_____

TAXABLE & UNTAXED INCOME				TAXABLE & UNTAXED INCOME			
29. \$ 0 - \$ 2,999	_____	_____	_____	\$ 0 - \$ 999	_____	_____	_____
30. \$ 3,000 - \$ 5,999	_____	_____	_____	\$ 1,000 - \$ 1,999	_____	_____	_____
31. \$ 6,000 - \$ 8,999	_____	_____	_____	\$ 2,000 - \$ 2,999	_____	_____	_____
32. \$ 9,000 - \$ 11,999	_____	_____	_____	\$ 3,000 - \$ 3,999	_____	_____	_____
33. \$ 12,000 - \$ 14,999	_____	_____	_____	\$ 4,000 - \$ 4,999	_____	_____	_____
34. \$ 15,000 - \$ 17,999	_____	_____	_____	\$ 5,000 - \$ 5,999	_____	_____	_____
35. \$ 18,000 - \$ 23,999	_____	_____	_____	\$ 6,000 - \$ 7,999	_____	_____	_____
36. \$ 24,000 - \$ 29,999	_____	_____	_____	\$ 8,000 - \$ 9,999	_____	_____	_____
37. \$ 30,000 - \$ 35,999	_____	_____	_____	\$ 10,000 - \$ 11,999	_____	_____	_____
38. \$ 36,000 - \$ 41,999	_____	_____	_____	\$ 12,000 - \$ 13,999	_____	_____	_____
39. \$ 42,000 - \$ 47,999	_____	_____	_____	\$ 14,000 - \$ 15,999	_____	_____	_____
40. \$ 48,000 - \$ 53,999	_____	_____	_____	\$ 16,000 - \$ 17,999	_____	_____	_____
41. \$ 54,000 - \$ 59,999	_____	_____	_____	\$ 18,000 - \$ 19,999	_____	_____	_____
42. \$ 60,000 & OVER	_____	_____	_____	\$ 20,000 & OVER	_____	_____	_____
43. TOTAL	_____	_____	_____	TOTAL	_____	_____	_____

FISCAL OPERATIONS REPORT, PART III

NAME OF INSTITUTION: _____ SERIAL NUMBER: _____ ENTITY NUMBER: 1-____-____-____

STATE: _____

PART III. FEDERAL PERKINS LOAN PROGRAM, SECTION A - FISCAL REPORT (CUMULATIVE) AS OF JUNE 30, 1998

LINE	ITEM (A)	NO OF BORROWERS	DEBIT BALANCES (B)	CREDIT BALANCES (C)	(D)
1.1	CASH ON HAND AND IN DEPOSITORY AS OF 06/30/98		XXXXXXXXXX	\$ _____	XXXXXXXXXXXX
1.2	CASH ON HAND AND IN DEPOSITORY AS OF 10/31/98	\$ _____	XXXXXXXXXX	XXXXXXXXXXXX	XXXXXXXXXXXX
2	FUNDS RECEIVABLE FROM FEDERAL GOVERNMENT		XXXXXXXXXX	\$ _____	XXXXXXXXXXXX
3	FUNDS RECEIVABLE FROM INSTITUTION		XXXXXXXXXX	\$ _____	XXXXXXXXXXXX
4	FUNDS ADVANCED TO STUDENTS		_____	\$ _____	XXXXXXXXXXXX
5	LOAN PRINCIPAL COLLECTED		_____	XXXXXXXXXXXX	\$ _____
6	LOAN PRINCIPAL ASSIGNED TO AND ACCEPTED BY THE UNITED STATES		_____	XXXXXXXXXXXX	\$ _____
7	TOTAL LOAN PRINCIPAL CANCELED ON LOANS MADE PRIOR TO 07/01/72 FOR TEACHING/MILITARY SERVICE		_____	XXXXXXXXXXXX	\$ _____
8	LOAN PRINCIPAL CANCELED FOR CERTAIN SUBJECT MATTER TEACHING SERVICE (MATH, SCIENCE, FOREIGN LANGUAGES, BILINGUAL EDUCATION) ON LOANS MADE 07/23/92 AND AFTER		XXXXXXXXXX	XXXXXXXXXXXX	XXXXXXXXXXXX
9	LOAN PRINCIPAL CANCELED FOR ALL OTHER AUTHORIZED TEACHING SERVICE ON LOANS MADE 07/01/72 AND AFTER		XXXXXXXXXX	XXXXXXXXXXXX	XXXXXXXXXXXX
10	LOAN PRINCIPAL CANCELED FOR MILITARY SERVICE ON LOANS MADE 07/01/72 AND AFTER		_____	XXXXXXXXXXXX	\$ _____
11	LOAN PRINCIPAL CANCELED FOR VOLUNTEER SERVICE		_____	XXXXXXXXXXXX	\$ _____
12	LOAN PRINCIPAL CANCELED FOR LAW ENFORCEMENT AND CORRECTIONS OFFICER SERVICE		_____	XXXXXXXXXXXX	\$ _____
13	LOAN PRINCIPAL CANCELED FOR CHILD/FAMILY/EARLY INTERVENTION SERVICE ON LOANS MADE 07/23/92 AND AFTER		XXXXXXXXXX	XXXXXXXXXXXX	XXXXXXXXXXXX
14	LOAN PRINCIPAL CANCELED FOR NURSE/MEDICAL TECHNICIAN SERVICE ON LOANS MADE 07/23/92 AND AFTER		XXXXXXXXXX	XXXXXXXXXXXX	XXXXXXXXXXXX
15	LOAN PRINCIPAL CANCELED - DEATH/DISABILITY		_____	XXXXXXXXXXXX	\$ _____
16	LOAN PRINCIPAL CANCELED - BANKRUPTCY		_____	XXXXXXXXXXXX	\$ _____
17	LOAN PRINCIPAL ADJUSTMENTS - OTHER		_____	XXXXXXXXXXXX	\$ _____
18	FEDERAL CAPITAL CONTRIBUTIONS		XXXXXXXXXX	XXXXXXXXXXXX	\$ _____
19	REPAYMENTS OF FUND CAPITAL TO FEDERAL GOVERNMENT		XXXXXXXXXX	\$ _____	XXXXXXXXXXXX
20	INSTITUTIONAL CAPITAL CONTRIBUTIONS		XXXXXXXXXX	XXXXXXXXXXXX	\$ _____
21	REPAYMENTS OF FUND CAPITAL TO INSTITUTION		XXXXXXXXXX	\$ _____	XXXXXXXXXXXX
22	INTEREST INCOME ON LOANS		XXXXXXXXXX	XXXXXXXXXXXX	\$ _____
23	OTHER INCOME		XXXXXXXXXX	XXXXXXXXXXXX	\$ _____
24	REIMBURSEMENTS TO THE FUND OF AMOUNTS CANCELED ON LOANS MADE 07/01/72 AND AFTER		XXXXXXXXXX	XXXXXXXXXXXX	\$ _____
25.1	ADMINISTRATIVE COST ALLOWANCE	\$ _____	XXXXXXXXXX	XXXXXXXXXXXX	XXXXXXXXXXXX
25.2	COLLECTION COSTS	\$ _____	XXXXXXXXXX	XXXXXXXXXXXX	XXXXXXXXXXXX
25.3	ADMINISTRATIVE COST ALLOWANCE AND COLLECTION COSTS (CONTROL)		XXXXXXXXXX	\$ _____	XXXXXXXXXXXX
26	COST OF LOAN PRINCIPAL AND INTEREST CANCELED FOR TEACHING/MILITARY SERVICE ON LOANS MADE PRIOR TO 07/01/72		XXXXXXXXXX	\$ _____	XXXXXXXXXXXX
27	COST OF LOAN PRINCIPAL AND INTEREST CANCELED FOR CERTAIN SUBJECT MATTER TEACHING SERVICE (MATH, SCIENCE, FOREIGN LANGUAGES, BILINGUAL EDUCATION) ON LOANS MADE 07/23/92 AND AFTER		XXXXXXXXXX	XXXXXXXXXXXX	XXXXXXXXXXXX
28	COST OF LOAN PRINCIPAL AND INTEREST CANCELED FOR ALL OTHER AUTHORIZED TEACHING SERVICE ON LOANS MADE 07/01/72 AND AFTER		XXXXXXXXXX	\$ _____	XXXXXXXXXXXX
29	COST OF LOAN PRINCIPAL AND INTEREST CANCELED FOR MILITARY SERVICE ON LOANS MADE 07/01/72 AND AFTER		XXXXXXXXXX	XXXXXXXXXXXX	XXXXXXXXXXXX
30	COST OF LOAN PRINCIPAL AND INTEREST CANCELED FOR VOLUNTEER SERVICE IN THE PEACE CORPS OR UNDER THE DOMESTIC VOLUNTEER SERVICE ACT OF 1973		XXXXXXXXXX	\$ _____	XXXXXXXXXXXX
31	COST OF LOAN PRINCIPAL AND INTEREST CANCELED FOR LAW ENFORCEMENT AND CORRECTIONS OFFICER SERVICE		XXXXXXXXXX	XXXXXXXXXXXX	XXXXXXXXXXXX
32	COST OF LOAN PRINCIPAL AND INTEREST CANCELED FOR CHILD/FAMILY/EARLY INTERVENTION SERVICE ON LOANS MADE 07/23/92 AND AFTER		XXXXXXXXXX	\$ _____	XXXXXXXXXXXX
33	COST OF LOAN PRINCIPAL AND INTEREST CANCELED FOR NURSE/MEDICAL TECHNICIAN SERVICE ON LOANS MADE 07/23/92 AND AFTER		XXXXXXXXXX	XXXXXXXXXXXX	XXXXXXXXXXXX
34	COST OF LOAN PRINCIPAL AND INTEREST CANCELED BECAUSE OF DEATH/DISABILITY		XXXXXXXXXX	\$ _____	XXXXXXXXXXXX
35	COST OF LOAN PRINCIPAL AND INTEREST CANCELED BECAUSE OF BANKRUPTCY		XXXXXXXXXX	\$ _____	XXXXXXXXXXXX
36	COST OF LOAN PRINCIPAL AND INTEREST ASSIGNED TO AND ACCEPTED BY THE UNITED STATES		XXXXXXXXXX	XXXXXXXXXXXX	XXXXXXXXXXXX
37	OTHER COSTS OR LOSSES		XXXXXXXXXX	\$ _____	XXXXXXXXXXXX
38	TOTAL DEBITS AND CREDITS (SUM OF LINES 1 THROUGH 37)		XXXXXXXXXX	\$ _____	\$ _____

DO NOT SEND THESE WORKSHEET PAGES TO THE DEPARTMENT

FISCAL OPERATIONS REPORT, PART III

NAME OF INSTITUTION: _____ SERIAL NUMBER: _____ ENTITY NUMBER: 1-____-____-____
STATE: _____

SECTION B. FUND ACTIVITY (ANNUAL) DURING THE 1997-98 AWARD YEAR (JULY 1,1997 THROUGH JUNE 30,1998)

AMOUNT

1. FINAL ADJUSTED FEDERAL CAPITAL CONTRIBUTION (FCC) AUTHORIZATION _____ DATE OF ADJ _____ \$ _____
2. FCC TRANSFERRED TO:
 - a. FSEOG \$ _____
 - b. FWS \$ _____
3. AMOUNT OF ADJUSTED AUTHORIZED FEDERAL CAPITAL CONTRIBUTION FOR AWARD YEAR 1997-98 NOT REQUESTED FROM ED/PMS BY JUNE 30,1998 (YOUR AWARD WILL BE REDUCED BY THIS AMOUNT NEXT SPRING. SEE INSTRUCTIONS) \$ _____
4. INSTITUTIONAL CAPITAL CONTRIBUTION (ICC) DEPOSITED INTO THE LOAN FUND BETWEEN JULY 1, 1997 AND JUNE 30, 1998. \$ _____
5. LOANS ADVANCED TO STUDENTS FROM THE LOAN FUND DURING THE 1997-98 AWARD YEAR (MINUS 1997-98 AWARD YEAR REFUNDS) \$ _____
6. ADMINISTRATIVE COST ALLOWANCE CLAIMED FOR THE 1997-98 AWARD YEAR (SEE INSTRUCTIONS) \$ _____

NUMBER OF
BORROWERS
(A) AMOUNT
(B)

7. TOTAL PRINCIPAL AND INTEREST REPAID BY BORROWERS FROM ALL SOURCES DURING THE 1997-98 AWARD YEAR _____ \$ _____

8. NAME AND ADDRESS OF BILLING AGENT(S)

_____ - - _____

9. IF YOUR INSTITUTION HAS A LOAN OFFICER RESPONSIBLE FOR ADMINISTERING FEDERAL PERKINS LOAN PROGRAM COLLECTIONS (OTHER THAN THE FINANCIAL AID ADMINISTRATOR OR CHIEF FISCAL OFFICER IDENTIFIED IN PART I, SECTION B), PROVIDE THE FOLLOWING INFORMATION:

NAME: _____
TITLE: _____
TELEPHONE NUMBER: (____) ____-____
(INCLUDING AREA CODE)

FISCAL OPERATIONS REPORT, PART III

NAME OF INSTITUTION: _____ SERIAL NUMBER: _____ ENTITY NUMBER: 1- _____ - _____ - _____
STATE: _____

SECTION C - CUMULATIVE REPAYMENT INFORMATION AS OF JUNE 30, 1998

STATUS OF BORROWERS AS OF JUNE 30, 1998 (A)	NUMBER OF BORROWERS (B)	AMOUNT LENT (C)	PRINCIPAL AMOUNT OUTSTANDING (D)
1.1 BORROWERS WHOSE LOANS ARE FULLY RETIRED	_____	_____	XXXXXXXXXXXX
1.2 LOANS THAT HAVE BEEN PURCHASED \$ _____	XXXXXXXXXX	XXXXXXXXXXXX	XXXXXXXXXXXX
2. BORROWERS WHOSE NOTES WERE ASSIGNED TO AND OFFICIALLY ACCEPTED BY THE DEPARTMENT OF EDUCATION AS OF JUNE 30, 1998	XXXXXXXXXX XXXXXXXXXX	X XXXXXXXXXXXX XXXXXXXXXXXX	XXXXXXXXXXXX XXXXXXXXXXXX
3 TOTAL BORROWERS NOT IN REPAYMENT STATUS	_____	XXXXXXXXXXXX	_____
4. BORROWERS ON SCHEDULE IN REPAYMENT STATUS	_____	XXXXXXXXXXXX	_____
5.1 IN DEFAULT LESS THAN 240 DAYS (MONTHLY INSTALLMENTS) OR LESS THAN 270 DAYS (OTHER INSTALLMENTS)	_____	XXXXXXXXXXXX	XXXXXXXXXXXX
5.2 IN DEFAULT 240 DAYS OR MORE (MONTHLY INSTALLMENTS) OR 270 DAYS OR MORE (OTHER INSTALLMENTS), UP TO 2 YEARS	XXXXXXXXXX XXXXXXXXXX	XXXXXXXXXXXX XXXXXXXXXXXX	XXXXXXXXXXXX XXXXXXXXXXXX
5.3 IN DEFAULT MORE THAN 2 YEARS, UP TO 5 YEARS	_____	_____	_____
5.4 IN DEFAULT MORE THAN 5 YEARS	_____	_____	_____

SECTION D - COHORT DEFAULT RATE

INSTITUTIONS WITH 30 OR MORE BORROWERS WHO ENTERED REPAYMENT IN THE 1996-97 AWARD YEAR:

1.1 NUMBER OF BORROWERS WHO ENTERED REPAYMENT IN 1996-97	0
1.2 ENTER THE NUMBER OF BORROWERS IN ITEM 1.1 ABOVE WITH LOANS IN DEFAULT ON JUNE 30, 1998	0
1.3 COHORT DEFAULT RATE (ITEM 1.2 / ITEM 1.1 * 100)	0.0

INSTITUTIONS WITH LESS THAN 30 BORROWERS WHO ENTERED REPAYMENT IN THE 1996-97 AWARD YEAR:

2.1 NUMBER OF BORROWERS WHO ENTERED REPAYMENT IN:	2.2 NUMBER OF BORROWERS WITH LOANS IN DEFAULT ON:
(a) 1994-95 (07/01/94 - 06/30/95) 0	(a) JUNE 30, 1996 (THOSE IN 2.1(a) ONLY) 0
(b) 1995-96 (07/01/95 - 06/30/96) 0	(b) JUNE 30, 1997 (THOSE IN 2.1(b) ONLY) 0
(c) 1996-97 (07/01/96 - 06/30/97) 0	(c) JUNE 30, 1998 (THOSE IN 2.1(c) ONLY) 0
2.3 TOTAL NUMBER OF BORROWERS WHO ENTERED REPAYMENT DURING THE THREE YEARS (2.1(a) + 2.1(b) + 2.1(c))	0
2.4 TOTAL NUMBER OF BORROWERS WITH LOANS IN DEFAULT (2.2(a) + 2.2(b) + 2.2(c))	0
2.5 COHORT DEFAULT RATE (ITEM 2.4 / 2.3 * 100)	0.0

FISCAL OPERATIONS REPORT, PART IV

NAME OF INSTITUTION: _____ SERIAL NUMBER: _____ ENTITY NUMBER: 1-____-____-____
STATE: _____

PART IV. FEDERAL SUPPLEMENTAL EDUCATIONAL OPPORTUNITY GRANT (FSEOG) PROGRAM
FOR AWARD YEAR JULY 1,1997 THROUGH JUNE 30,1998

SECTION A. FEDERAL FUNDS AUTHORIZED FOR FSEOG

1. FINAL ADJUSTED FSEOG AUTHORIZATION _____ \$ _____
DATE OF ADJ _____

SECTION B. FEDERAL FUNDS AVAILABLE FOR FSEOG EXPENDITURES

2. FWS FUNDS TRANSFERRED TO AND SPENT IN FSEOG + \$ _____
3. FEDERAL PERKINS FCC FUNDS TRANSFERRED TO + \$ _____
AND SPENT IN FSEOG
4. FEDERAL FUNDS AVAILABLE FOR FSEOG (LINES 1 + 2 + 3) \$ _____

SECTION C. FUNDS TO FSEOG RECIPIENTS

5. TOTAL FUNDS TO FSEOG RECIPIENTS (LINES 6 + 7) \$ _____
6. NON-FEDERAL SHARE OF FUNDS TO FSEOG RECIPIENTS \$ _____
(25% OF LINE 5)
a. CASH OUTLAY CONTRIBUTED \$ _____
b. OTHER INSTITUTIONAL RESOURCES DESIGNATED \$ _____

SECTION D. FEDERAL FUNDS SPENT FOR FSEOG PROGRAM

7. FEDERAL SHARE OF FUNDS TO FSEOG RECIPIENTS \$ _____
(75% OF LINE 5)
8. ADMINISTRATIVE COST ALLOWANCE CLAIMED + \$ _____
9. FEDERAL FUNDS SPENT FOR FSEOG (LINES 7 + 8) \$ _____

SECTION E. USE OF FEDERAL FSEOG AUTHORIZATION

10. EXPENDED FSEOG AUTHORIZATION (LINE 9 - LINE 2 - LINE 3) \$ _____
11. UNEXPENDED FSEOG AUTHORIZATION (LINE 1 - LINE 10) \$ _____
(CANNOT BE NEGATIVE)

SECTION F. MISCELLANEOUS INFORMATION

12. PRIOR YEAR RECOVERIES AWARD YEAR _____ \$ _____

FISCAL OPERATIONS REPORT, PART V

NAME OF INSTITUTION: _____ SERIAL NUMBER: _____ ENTITY NUMBER: 1-____-____-____-____
STATE: _____

PART V. FEDERAL WORK-STUDY (FWS) PROGRAM
FOR AWARD YEAR JULY 1, 1997 THROUGH JUNE 30, 1998

SECTION A. FEDERAL FUNDS AUTHORIZED FOR FWS

1. FINAL ADJUSTED FWS AUTHORIZATION _____ \$ _____
DATE OF ADJ. _____

SECTION B. FEDERAL FUNDS AVAILABLE FOR FWS EXPENDITURES

2. FEDERAL PERKINS FCC FUNDS TRANSFERRED TO AND SPENT IN FWS + \$ _____
3. FWS FUNDS TRANSFERRED TO AND SPENT IN FSEOG - \$ _____
4. 1998-99 FUNDS CARRIED BACK AND SPENT IN 1997-98 + \$ _____
5. ADDITIONAL 1998-99 FUNDS CARRIED BACK AND SPENT FOR 1998 SUMMER EMPLOYMENT + \$ _____
6. 1996-97 FUNDS CARRIED FORWARD AND SPENT IN 1997-98 + \$ _____
7. 1997-98 FUNDS CARRIED FORWARD TO BE SPENT IN 1998-99 - \$ _____
8. 1997-98 FUNDS CARRIED BACK AND SPENT IN 1996-97 - \$ _____
9. ADDITIONAL 1997-98 FUNDS CARRIED BACK AND SPENT FOR 1997 SUMMER EMPLOYMENT - \$ _____
10. TOTAL FUNDS AVAILABLE FOR 1997-98 (LINES 1 + 2 - 3 + 4 + 5 + 6 - 7 - 8 - 9) \$ _____

SECTION C. TOTAL COMPENSATION FOR FWS

11. TOTAL EARNED COMPENSATION FOR FWS PROGRAM \$ _____
a. ON-CAMPUS EXPENDITURES \$ _____
b. OFF-CAMPUS EXPENDITURES AT PUBLIC OR PRIVATE NON-PROFIT AGENCIES \$ _____
c. OFF-CAMPUS EXPENDITURES IN THE PRIVATE (FOR PROFIT) SECTOR \$ _____
12. TOTAL INSTITUTIONAL SHARE OF EARNED COMPENSATION (SEE INSTRUCTIONS) \$ _____

SECTION D. FUNDS SPENT FROM FEDERAL SHARE OF FWS

13. TOTAL FEDERAL SHARE OF FWS EARNED COMPENSATION \$ _____
a. COMPENSATION AT FEDERAL SHARE NOT TO EXCEED 75% \$ _____
b. OFF-CAMPUS PRIVATE (FOR PROFIT) SECTOR COMPENSATION AT FEDERAL SHARE NOT TO EXCEED 50% \$ _____
14. ADMINISTRATIVE COST ALLOWANCE CLAIMED + \$ _____
15. FEDERAL SHARE OF JOB LOCATION AND DEVELOPMENT PROGRAM EXPENDITURES + \$ _____
16. TOTAL FEDERAL FUNDS SPENT FOR FWS (SUM OF LINES 13 THROUGH 15) \$ _____

FISCAL OPERATIONS REPORT, PART V

NAME OF INSTITUTION: _____ SERIAL NUMBER: _____ ENTITY NUMBER: 1-____-____-____
STATE: _____

SECTION E. USE OF FEDERAL FWS AUTHORIZATION

17. EXPENDED FWS AUTHORIZATION (LINES 3 + 7 + 8 + 9 + 16) MINUS (LINES 2 + 4 + 5 + 6) \$ _____

18. UNEXPENDED FWS AUTHORIZATION (LINE 1 - LINE 17) \$ _____

SECTION F. MISCELLANEOUS INFORMATION

19. PRIOR YEAR RECOVERIES AWARD YEAR _____ \$ _____

SECTION G. INFORMATION ABOUT THE JOB LOCATION AND DEVELOPMENT PROGRAM

20. TOTAL EXPENDITURES FOR THE JOB LOCATION AND DEVELOPMENT PROGRAM \$ _____

21. INSTITUTIONAL EXPENDITURES FOR THE JLD PROGRAM (SEE INSTRUCTIONS) \$ _____

22. NUMBER OF STUDENTS FOR WHOM JOBS WERE LOCATED OR DEVELOPED _____

23. TOTAL EARNINGS OF THE STUDENTS IN LINE 22 ABOVE \$ _____

SECTION H. INFORMATION ABOUT FWS COMMUNITY SERVICE ACTIVITIES

24. NUMBER OF STUDENTS IN COMMUNITY SERVICE EMPLOYMENT _____

25. FEDERAL SHARE OF COMMUNITY SERVICE EARNED COMPENSATION \$ _____

26. NON-FEDERAL SHARE OF COMMUNITY SERVICE EARNED COMPENSATION \$ _____

SECTION I. INFORMATION ABOUT FWS READING TUTORS OF CHILDREN

27. NUMBER OF STUDENTS EMPLOYED AS FWS READING TUTORS
OF CHILDREN _____

28. FEDERAL SHARE OF EARNED COMPENSATION FOR FWS READING TUTORS
OF CHILDREN \$ _____

29. TOTAL EARNED COMPENSATION FOR FWS READING TUTORS OF CHILDREN \$ _____

FISCAL OPERATIONS REPORT, PART VI

NAME OF INSTITUTION: _____ SERIAL NUMBER: _____ ENTITY NUMBER: 1-____-____-____
STATE: _____

PART VI. PROGRAM SUMMARY FOR AWARD YEAR JULY 1, 1997 THROUGH JUNE 30, 1998

SECTION A. DISTRIBUTION OF PROGRAM RECIPIENTS AND EXPENDITURES BY TYPE OF STUDENT

TAXABLE & UNTAXED INCOME CATEGORY STUDENT TYPE	FEDERAL PERKINS LOAN		F S E O G		F W S		SUMMARY	
	(A) RECIPIENTS	(B) FUNDS	(C) RECIPIENTS	(D) FUNDS	(E) RECIPIENTS	(F) FUNDS	(G) RECIPIENTS	
UNDERGRADUATE DEPENDENT								
1. \$ 0 - \$ 5,999	_____	_____	_____	_____	_____	_____	_____	
2. \$ 6,000 - \$11,999	_____	_____	_____	_____	_____	_____	_____	
3. \$12,000 - \$23,999	_____	_____	_____	_____	_____	_____	_____	
4. \$24,000 - \$29,999	_____	_____	_____	_____	_____	_____	_____	
5. \$30,000 - \$41,999	_____	_____	_____	_____	_____	_____	_____	
6. \$42,000 - \$59,999	_____	_____	_____	_____	_____	_____	_____	
7. \$60,000 AND OVER	_____	_____	_____	_____	_____	_____	_____	
UNDERGRADUATE INDEPENDENT								
8. \$ 0 - \$ 1,999	_____	_____	_____	_____	_____	_____	_____	
9. \$ 2,000 - \$ 3,999	_____	_____	_____	_____	_____	_____	_____	
10. \$ 4,000 - \$ 7,999	_____	_____	_____	_____	_____	_____	_____	
11. \$ 8,000 - \$11,999	_____	_____	_____	_____	_____	_____	_____	
12. \$12,000 - \$15,999	_____	_____	_____	_____	_____	_____	_____	
13. \$16,000 - \$19,999	_____	_____	_____	_____	_____	_____	_____	
14. \$20,000 AND OVER	_____	_____	_____	_____	_____	_____	_____	
15. GRADUATE/PROFESSIONAL	_____	_____	DOES NOT APPLY	DOES NOT APPLY	_____	_____	_____	
16. T O T A L	_____	_____	_____	_____	_____	_____	_____	
17. TOTAL LESS THAN FULL TIME STUDENTS	_____	_____	_____	_____	_____	_____	_____	
18. TOTAL "AUTOMATIC" ZERO EFC STUDENTS	_____	_____	_____	_____	_____	_____	_____	

FISCAL OPERATIONS REPORT, PART VI

NAME OF INSTITUTION: _____ SERIAL NUMBER: _____ ENTITY NUMBER: 1-____-____-____
STATE: _____

Administrative Cost Allowance Worksheet (Worksheet must be retained for audit and program reviews)

Section B. Calculating the Administrative Cost Allowance

STEP 1 Calculate the amount spent in 1997-98 on which the Administrative Cost Allowance is based:

1. Total compensation in FWS (amount from Part V, Section C, line 11)	\$	0	
2. Amount of Federal Perkins Loan funds advanced to students (amount from Part III, Section B, line 5)	+	\$	0
3. Total funds to FSEOG recipients (amount from Part IV, Section C, line 5)			+
4. TOTAL Amount Spent (lines 1 + 2 + 3)	\$	0	0

STEP 2 Calculate the Administrative Cost Allowance (Complete only ONE subsection):

Institutions whose total amount spent was \$2,750,000 OR LESS

5. Enter total amount spent (line 4)	\$	0
6. Multiply	x	.05
7. TOTAL Administrative Cost Allowance (GO TO STEP 3)	\$	0

Institutions whose total amount spent was MORE THAN \$2,750,000 but LESS THAN \$5,500,000

8. Enter total amount spent (line 4)	\$	N / A	
9. Subtract			- \$ 2750000
10. Expenditures over \$2,750,000 (line 8 - line 9)	\$	N / A	
11. Multiply	x	0.04	
12. Administrative Cost Allowance on expenditures over \$2,750,000 (line 10 x line 11)			\$ N / A
13. Add Administrative Cost Allowance on expenditures of \$2,750,000			+
14. TOTAL Administrative Cost Allowance (line 12 + line 13) (GO TO STEP 3)	\$	N / A	137500

Institutions whose total amount spent was \$5,500,000 OR MORE

15. Enter total amount spent (line 4)	\$	N / A	
16. Subtract	-	\$ 5500000	
17. Expenditures over \$5,500,000 (line 15 - line 16)	\$	N / A	
18. Multiply	x	0.03	
19. Administrative Cost Allowance on expenditures over \$5,500,000 (line 17 x line 18)			\$ N / A
20. Add Administrative Cost Allowance on expenditures of \$5,500,000			+
21. TOTAL Administrative Cost Allowance (line 19 + line 20) (GO TO STEP 3)	\$	N / A	247500

STEP 3 Decide how much Administrative Cost Allowance the Institution claimed:

22. How much Administrative Cost did the Institution claim (The amount may be the same or less than the amount calculated in Step 2)	\$	0
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23. How much Administrative Cost did the institution claim in each program ?

a. Federal Perkins loan (must be same as Part III, Sect. B, line 6)	\$	0
b. FSEOG (must be the same as Part IV, Section D, line 8)	\$	0
c. FWS (must be the same as Part V, Section D, line 14)	\$	0

